# **INFORMATION - Is There Anything Where Nobody Looks ?**

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Random data collection on the **quantum abuse** (often heavy) of the **classical** terminology:

QUANTUM mechanics **CLASSICAL** state of the system measurement groups chaos dissipation Brownian motion (non-commutative) geometry (commutative) probability communication computing/computer cryptography/algorithms information theory data processing statistics Cramér-Rao inequalities Fisher information/state estimation information and entropy QUANTUM entropy and uncertainty CLASSICAL An incomplete list of **quantum** political/quasi-religious parties, some *in statu nascendi*:

- Bohmians
- Everettians
- Consistent Historians
- Quantum Probabilists
- Spontaneous Collapseans
- Transactionalists
- Contextual Objectivists
- Einselectionists
- Entanglers/Teleporters ?
- Information Science Theoreticians ?
- Algorithmic/Cryptography Magicians?

Some "APPETIZERS": (feel provoked, that is right !)

(\*) quant-ph/0205039; "Quantum Mechanics as **Quantum Information**" (C. A. Fuchs),

(\*\*) "Quantum States: What the Hell are They ?" (Fuchs' home page), (\*\*\*) "How much **Information** in a State Vector ?" (Caves/Fuchs).

- Why information ? - "quantum states are states of knowledge, not states of nature"  $\equiv$  "the quantum state is solely an expression of information";  $\rightarrow$  going sectarian, see above ?

- "Information about what ? - nothing more than the potential consequences of our experimental interventions into the nature" (e.g. the measurement); ;  $\rightarrow$  then what about Hawking's "Wave Function of the Universe" ?

- "The whole structure of quantum mechanics may be nothing more than the optimal method of reasoning and **processing information**";  $\rightarrow$ then we must answer J. A. Smolin's question "Does Quantum Cryptography Imply Quantum Mechanics ?"

#### ₩

- Plea: "give an information theoretic reason !" to everything.

# DISREPUTABLE (?) AND POSSIBLY OUT-DATED VIEW:

#### back to state vectors

(\*) (Penrose - "The Emperor's New mind")

- "When a system "has" (is in) a state  $|\psi\rangle$  there ought to be some property in (of) the system that corresponds to its " $|\psi\rangle$ "-ness"

(\*\*) quant-ph/0312149; "A probabilistic and **information theoretic** interpretation of quantum evolutions" (Oppenheim/Reznik)

- "An isolated system is represented in QM by a **state vector** that conveys statistic predictions for measurement outcomes"

 $(\ast\ast\ast)$  "How much Information in a State Vector ?" (Caves/Fuchs) - again

#### INFORMATION THEORY START-UP

finite dimensional Hilbert space

quantum states  $\equiv$  density operators

classical (Shannon) entropy  $\longrightarrow$  quantum (von Neumann) entropy

- "entropy measures how much uncertainty there is in the state of a physical system"

∜

- entropic (also called information-theoretic) uncertainty relations for finite quantum systems (Deutsch, Maasen/Uffink)  $\mu = (\mu_1, \mu_2, ..., \mu_N)$  probability measure on a system of N points, e. g.  $\sum_{j=1}^{N} \mu_j = 1$ Set:  $S(\mu) = -\sum_{j=1}^{N} \mu_j \log \mu_j$ 

(base of the logarithm equals 2, but we recall that  $\log b \cdot \ln 2 = \ln b$ )

$$0 \le S(\mu) \le \log N$$

# Shannon

 $\downarrow$ 

# von Neumann

Take a **finite** quantum system (with a finite dimensional Hilbert space,  $\dim \mathcal{H} = N$ ).

Take  $\rho$  as the density operator with eigenvalues  $\{p_1, p_2, ..., p_N\}$ 

Set  $S(\rho) = -Tr(\rho \log \rho) = -\sum_{j=1}^{N} p_j \log p_j$ 

(Have a nice day, you may begin your "quantum information research".)

(\*\*) "Abnormal" way: (no nice day any longer !)

1. Hilbert space dimension **infinite**, from the outset.

2. We insist on using the Shannon-type "classical entropy" in the manifestly quantum context, no mention (we are really sorry for that) of von Neumann and his quantum entropy.

3. The principal notion is the **information entropy** for (absolutely) continuous probability distributions and the **entropic uncertainty relations** for observables with continuous spectra (originally named "information-theoretic measures of uncertainty").

### SHANNON ENTROPY $\longrightarrow$ INFORMATION ENTROPY

Long message (n "entries"); an "alphabet" ( $N \ll n$  "letters");

 $\mu_j, 1 \leq j \leq N$  - probability of the j-th "letter" ,  $\mu = (\mu_1,...,\mu_N)$ 

$$\sum_{1}^{N} \mu_{j} = 1 \longrightarrow \int \rho dx = 1$$
$$\Downarrow$$
$$S(\mu) = -\sum_{1}^{N} \mu_{j} \ln \mu_{j} \longrightarrow S(\rho) = -\int \rho(s) \ln \rho(s) ds$$

**Pedestrian argument** (no trace of rigor, basically somewhat controlled "wishful thinking"):

$$0 \le -\sum_{1}^{N} \mu_j \ln \mu_j \le \ln N$$

Take an interval of length L on a line and the partition/grating unit

$$\Delta s = L/N$$

Define:  $\mu_j \doteq p_j \Delta s$  and notice that:

$$S(\mu) = -\sum_{j} (\Delta s) p_j \ln p_j - \ln(\Delta s)$$

Try either to keep L constant or make L large, however in both cases improve the coarse-graining precision  $\Rightarrow$  the "alphabet" should be extended by new entries, since N needs to grow.

Well, let us fix L and allow N to grow, so that  $\Delta s$  decreases. Then:

 $S(\rho)$  is our **information entropy** for the probability measure on the interval L. Ultimately, in the infinite volume  $L \to \infty$  and infinitesimal grating  $\Delta s \to 0$  limits, the information entropy may be unbounded both from below and above. **Bad news ? Perhaps...** 

# **INFORMATION ENTROPY** (for pedestrians plus comments).

#### - Coherent state

### - Coherent state for the harmonic oscillator

We choose the probability density in the form:

$$\rho(x,t) = \left(\frac{2\pi D}{\omega}\right)^{-1/2} \exp\left[-\frac{\omega}{2D} \left(x - q(t)\right)^2\right]$$

where the classical harmonic dynamics with particle mass m and frequency  $\omega$  is involved:

$$q(t) = q_0 \cos(\omega t) + (p_0/m\omega) \sin(\omega t)$$
  

$$p(t) = p_0 \cos(\omega t) - m\omega q_0 \sin(\omega t).$$

We readily get dS/dt = 0, although  $\rho = \rho(x, t)$  and the information entropy density  $-(\rho \ln \rho)(x, t)$  show up a non-trivial time dependence.

#### - Free quantum dynamics for a Gaussian wave-packet

Take

$$\rho(x,t) = \frac{\alpha}{[\pi(\alpha^4 + 4D^2t^2)]^{1/2}} \exp\left(-\frac{x^2\alpha^2}{\alpha^4 + 4D^2t^2}\right).$$
 (1)

In this case, the information entropy reads:

$$\mathcal{S}(t) = \frac{1}{2} \ln \left[ e\pi \left\langle X^2 \right\rangle(t) \right]$$
$$\left\langle X^2 \right\rangle \doteq \int x^2 \rho dx = (\alpha^4 + 4D^2t^2)/2\alpha^2$$

The information entropy ( $\equiv$  the localization uncertainty) grows logarithmically with time.

#### Side comment (i):

For more general probability distributions p(x) with a **fixed** variance  $\sigma$  we would have  $S(p) \leq \frac{1}{2} \ln(2\pi e \sigma^2)$ . S(p) would become maximized if and only if p is a Gaussian:  $p \to \rho$ .

## Side comment (ii):

We shall address a general **time-dependent setting**. Before, by admitting  $\sigma = \sigma(t)$ , we gave a number of examples for time-dependent information entropy  $S(\rho_t)$  (c.f. free quantum evolution, in the non-quantum context a good example is the free Brownian motion).

#### Side comment (iii):

Recall the Fourier transform for normalized Schrödinger wave functions, together with the notions of **position and momentum representation** wave packets.

Given an eigenfunction  $\psi(x)$  of the energy operator, we denote  $(\mathcal{F}\psi)(p)$  its Fourier transform. The corresponding probability densities follow:

$$\rho(x) = |\psi(x)|^2$$
 and  $\tilde{\rho}(p) = |(\mathcal{F}\psi)(p)|^2$ .

Denote:

 $S_q = -\int \rho(x) \ln \rho(x) dx$  and  $S_p = -\int \tilde{\rho}(p) \ln \tilde{\rho}(p) dp$ 

There holds the **entropic uncertainty relation** (Białynicki-Birula/Mycielski) between two forms (position and momentum respectively) of the information entropy:

$$S_q + S_p \ge (1 + \ln \pi)$$

#### Note:

(i) How to handle momentum entropies for systems confined to the interval or the half-line, c.f. "Canonical Quantization and Impenetrable Barriers" (P. G. + K. W., 2003), and Majernik/Richterek (1997; infinite well information entropies).

(ii) In case of more than one space dimension, an extra factor d (dimensionality) should precede  $(1 + \ln \pi)$ .

An inherent feature of any **random phenomenon** is that a result of its observation cannot be predicted *a priori* (i.e. **before observation**)"

(\*) If X is a **discrete random variable** taking values  $x_i$  with probabilities  $p_i, i = 1, 2, ..., N$ , the quantity

$$\mathcal{S}(X) = -\sum p_i \log p_i$$

is called the **Shannon entropy** of a discrete random variable or the entropy of the probability distribution  $(p_1, ..., p_N)$ .

- The logarithm  $\log$  has base  $2 \rightarrow$  the unit of entropy is called a **bit** (binary digit)

- The natural logarithm  $\ln$  has base  $e \to$  the unit of entropy is called a **nat** (natural)

**Note:** If X takes infinitely many values  $x_1, x_2, ...$  with probabilities  $p_1, p_2, ...,$  then the entropy  $\mathcal{S}(X)$  is not necessarily finite.

(\*\*) For a continuous random variable X with values in  $x \in \mathbb{R}^n$  and the probability density  $\rho(x)$  one usually defines the entropy of a continuous random variable (called the differential entropy of X) as:

$$S(X) = -\int_{\Gamma} \rho(x) \log \rho(x) dx$$

where  $\Gamma \in \mathbb{R}^n$  is the support set of X. One may also denote  $\mathcal{S}(X) \doteq \mathcal{S}(\rho)$ .

#### Note:

- In the **discrete** case, the entropy quantifies randomness in an *absolute* way.

- In the **continuous** case (there is **no** no smooth limiting passage from the discrete to continuous entropy), the entropy cannot work "as it is" as a measure of "global" randomness.

- However the difference  $S(\rho) - S(\rho')$  of entropies characterizes the difference in randomness encoded in the functional form of  $\rho$  and  $\rho'$ .

# **INFORMATION ENTROPY DYNAMICS:** pedestrian examples recalled

- Coherent state

$$\rho(x) = \frac{1}{[2\pi\sigma^2]^{1/2}} \exp\left[-\frac{(x-x_0)^2}{2\sigma^2}\right]$$
$$\downarrow$$
$$\mathcal{S}(\rho) = \frac{1}{2}\ln(2\pi e\sigma^2)$$

- Coherent state for the harmonic oscillator;  $D=\hbar/2m$ 

- Free quantum dynamics for a Gaussian wave-packet

$$\rho(x,t) = \frac{\alpha}{[\pi(\alpha^4 + 4D^2t^2)]^{1/2}} \exp\left(-\frac{x^2\alpha^2}{\alpha^4 + 4D^2t^2}\right) \,.$$

$$\downarrow$$
  
$$\sigma^2 \to \sigma^2(t) = \frac{\alpha^4 + 4D^2t^2}{2\alpha^2} \to \frac{d\mathcal{S}}{dt} = \frac{4D^2t}{\alpha^4 + 4D^2t^2}$$

- Squeezed state of the oscillator (atomic units)

$$\sigma^2 \to \sigma^2(t) = \frac{1}{2} \left( \frac{1}{s^2} \sin^2 t + s^2 \cos^2 t \right)$$

- Non-quantum example: free Brownian motion;  $D = k_B T / m\beta$ 

$$\sigma^2 \to \sigma^2(t) = 2Dt$$

## **DYNAMICS OF INFORMATION:** Information entropy production

We consider **time-dependent** probability densities  $\rho \doteq \rho(x, t)$ 

Take for granted that there holds (we consider space dimension one) :

(1) the **Fokker-Planck equation** for the diffusion-type process (best - Markovian):

$$\partial_t \rho = D \triangle \rho - \nabla \cdot (\rho b)$$

with a suitable (? !) forward drift b = b(x, t) of the gradient form  $b = \nabla \Phi$ . D is a diffusion constant with dimensions of  $\hbar/2m$  or  $k_B T/m\beta$ .

(2) By introducing:

$$u(x,t) = D\nabla \ln \rho(x,t)$$

we can write

$$v(x,t) = b(x,t) - u(x,t)$$
$$\Downarrow$$
$$\partial_t \rho = -\nabla(v\rho)$$

i.e. the continuity equation.

Now the **information entropy**, typically is **not** a conserved quantity.

$$\mathcal{S}(t) = -\int \rho(x,t) \, \ln \rho(x,t) \, dx$$

$$\Downarrow$$

(with boundary restrictions that  $\rho, v\rho, b\rho$  vanish at spatial infinities or finite interval borders)

$$\frac{d\mathcal{S}}{dt} = \int \left[\rho \left(\nabla \cdot b\right) + D \cdot \frac{(\nabla \rho)^2}{\rho}\right] dx$$

Remembering that v = b + u and  $u = D\nabla \ln \rho$ , we have:

$$\frac{dS}{dt} = \int \left[\rho \left(\nabla \cdot b\right) + D \cdot \frac{\left(\nabla \rho\right)^2}{\rho}\right] dx$$

$$\updownarrow$$

$$D\dot{S} \doteq D \left\langle\nabla \cdot b\right\rangle + \left\langle u^2 \right\rangle = -\left\langle v \cdot u \right\rangle$$

$$\updownarrow$$

$$D\dot{S} = \left\langle v^2 \right\rangle - \left\langle b \cdot v \right\rangle$$

$$\Downarrow$$

# "Thermodynamic" formalism

Set formally (adjusting dimensional constants):

$$b = \frac{F}{m\beta}$$

Exploit  $j \doteq v\rho$  and  $F = -\nabla V$  and set  $D = k_B T/m\beta$ . Notice that:

$$\frac{d\mathcal{S}}{dt} = \frac{d\mathcal{S}_{prod}}{dt} - \frac{d\mathcal{Q}}{dt}$$

where:

$$\frac{d\mathcal{S}_{prod}}{dt} \doteq \frac{1}{D} \left\langle v^2 \right\rangle \ge 0$$

stands for the **information entropy production**, while:

$$\frac{d\mathcal{Q}}{dt} \doteq \frac{1}{D} \int \frac{1}{m\beta} F \cdot j \, dx = \frac{1}{D} \left\langle b \cdot v \right\rangle$$

may be interpreted as the **heat dissipation rate**. Note:

$$k_B T \dot{\mathcal{Q}} = \int F \cdot j \, dx$$

Furthermore, assume that V = V(x) does not depend on time and define:

$$j = \rho DF_{th}$$

with:

$$k_B T F_{th} = F - k_B T \nabla \ln \rho \doteq -\nabla \Psi$$

With

$$k_B T F_{th} = F - k_B T \nabla \ln \rho \doteq -\nabla \Psi$$

consider:

$$\Psi = V + k_B T \ln \rho$$
$$\Downarrow$$
$$\langle \Psi \rangle = \langle V \rangle - T S'$$

where  $\mathcal{S}' \doteq k_B \mathcal{S}$ .

Minor surprise:

(1) <  $\Psi$  > stands for the Helmholtz free energy

(2) < V > stands for the (mean) **internal energy** 

 $\Downarrow$ 

 $(\rho V v$  needs to vanish at the integration volume boundaries).

$$\left\langle \dot{\Psi} \right\rangle = -k_B T \int F_{th} \cdot j \, dx = -(m\beta) \left\langle v^2 \right\rangle = -k_B T \, \frac{d\mathcal{S}_{prod}}{dt} \le 0$$

As long as there is an information entropy production, the "Helmholtz free energy" decreases as a function of time towards its minimum. If there is none, the "Helmholtz free energy" reamins constant.

Note: In the above there was no explicit phase-space input nor reference to the standard statistical mechanics/thermodynamics. The temperature T is an artifice as well.

**CONCLUSION:** Is there anything where nobody looks ?

Thank you for attention.